

# Investigating Cochlear Instabilities Using Structured Stochastic Uncertainty

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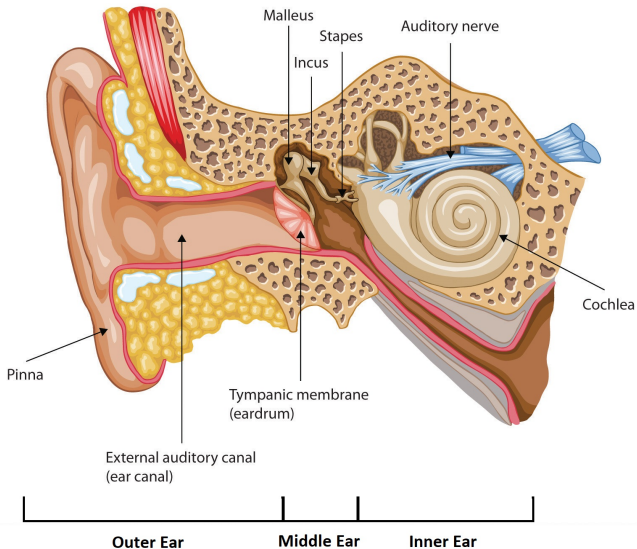
**Advisor:** Bassam Bamieh

CDC 2017, Melbourne



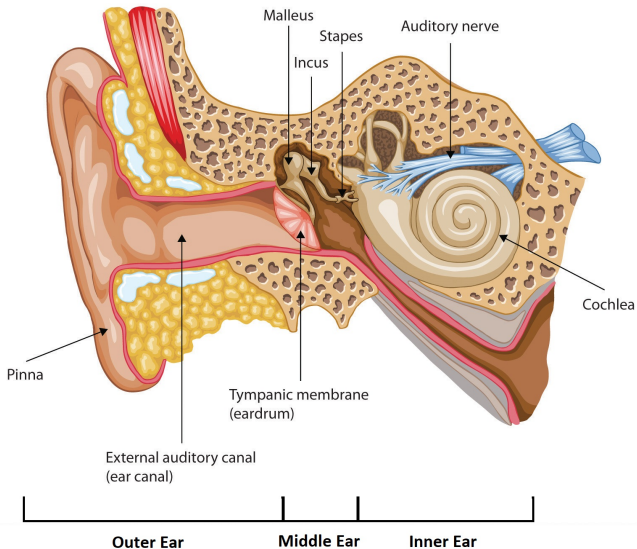
- 1 Brief Physiology
- 2 Features of Cochlear Response
  - Frequency to Location Mapping
  - Wide Dynamic Range
  - Cochlear Instabilities
- 3 Deterministic & Stochastic Biomechanical Models
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- 7 Conclusion & Future Work

# Brief Physiology, the Ear

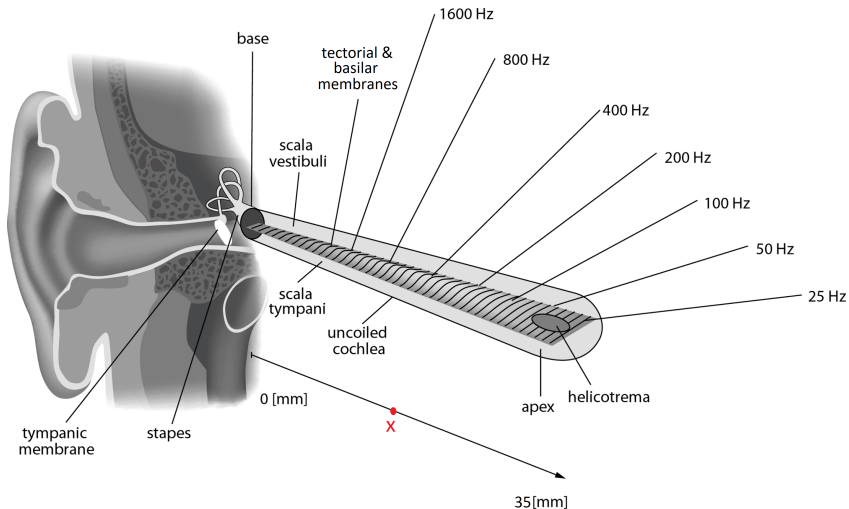


Source: Introduction to Psychology 1.0.1 — FlatWorld

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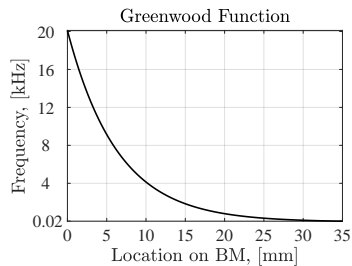


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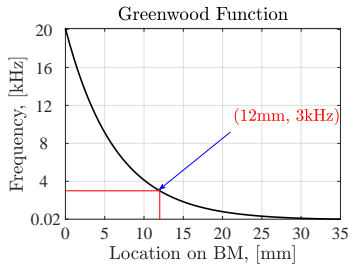


**Cochlea is simply a mechanical spectrum analyzer**

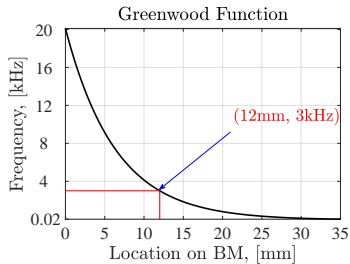
# Cochlear Response, Frequency-Location Mapping



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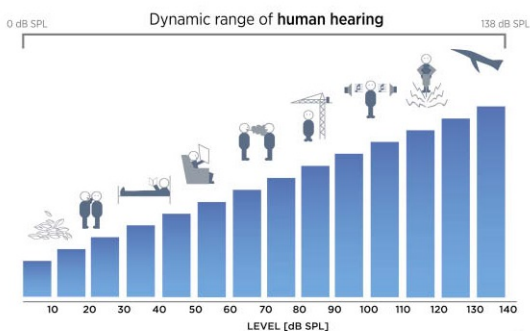
# Cochlear Response, Frequency-Location Mapping





# Cochlear Response, Wide Dynamic Range

Wide Dynamic Range: **More than 120 dB** in Sound Pressure Level (SPL)

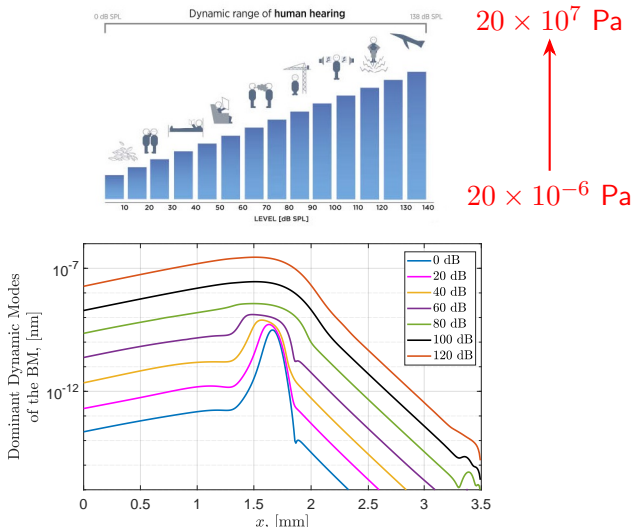


$20 \times 10^7 \text{ Pa}$

$20 \times 10^{-6} \text{ Pa}$

# Cochlear Response, Wide Dynamic Range

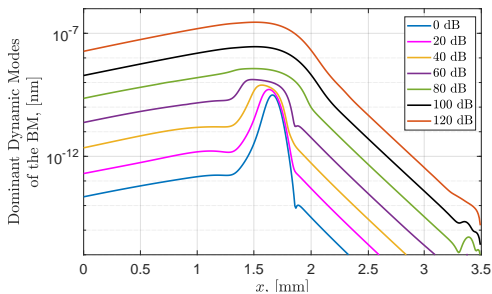
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# Cochlear Response, Wide Dynamic Range

A feedback mechanism that amplifies small inputs

→ but pushes the dynamics to the edge of stability!



# Cochlear Response, Distortion Products

Two tones simultaneously:       $\rightarrow f_{Low}$ : fixed       $\rightarrow f_{High}$ : time-varying

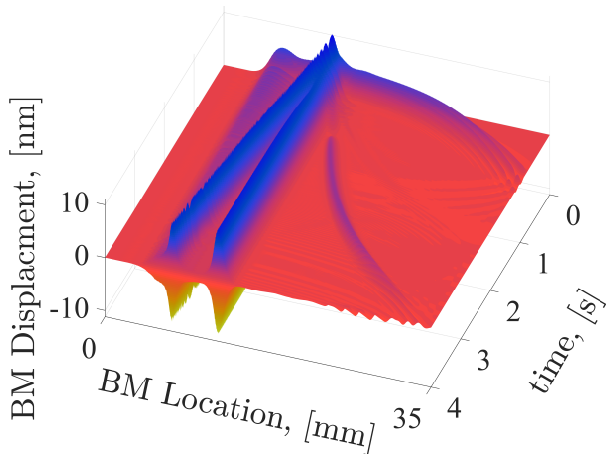
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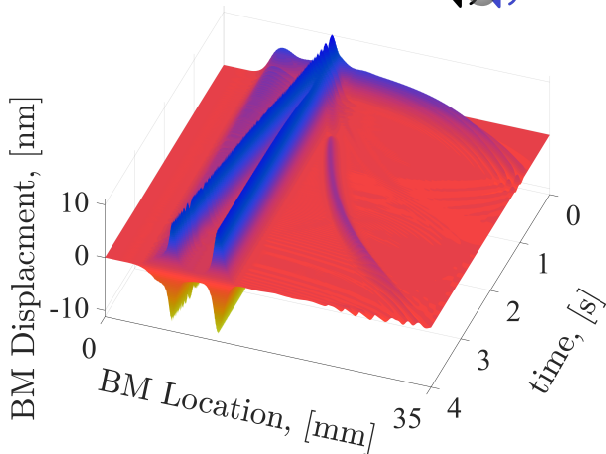


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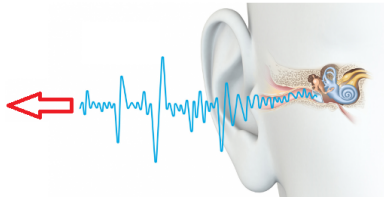
→  $f_{High}$ : time-varying



# Spontaneous Response: Cochlear Instabilities

The ear is an active device that can produce sound!

- **Spontaneous Otoacoustic Emissions (SOAE)**  
(Not necessarily perceived)

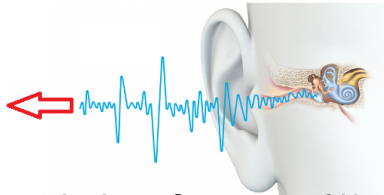




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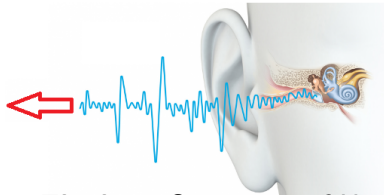
- **Tinnitus:** Symptoms of Hearing Loss Diseases  
(Perceived as harsh and consistent ringing)



# Spontaneous Response: Cochlear Instabilities

→ Can be modeled as instabilities in stochastic cochlear dynamics...

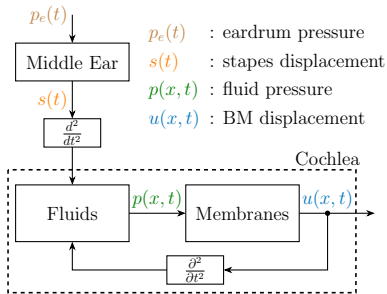
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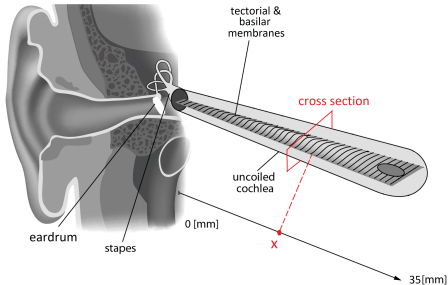
# A Deterministic Biomechanical Model



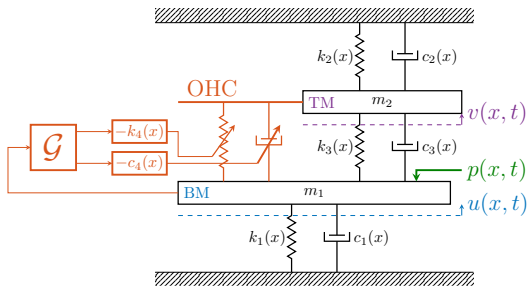
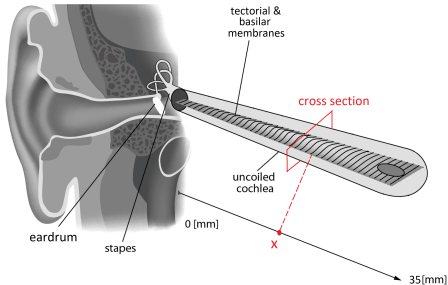
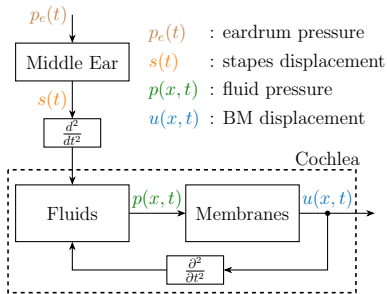
$$p(x,t) = -[\mathcal{M}_f \ddot{u}](x,t) - [\mathcal{M}_s \ddot{s}](x,t)$$

where:

$\mathcal{M}_f$  and  $\mathcal{M}_s$  are linear spatial operators



# A Deterministic Biomechanical Model



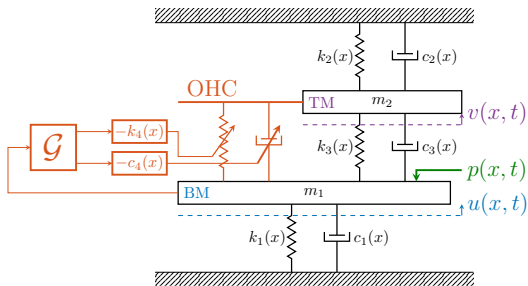
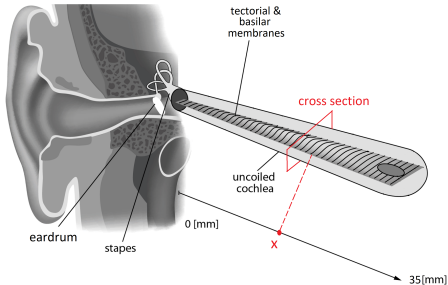
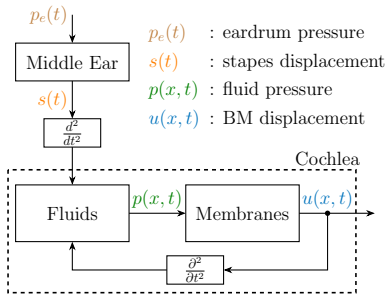
$v(x,t)$  : TM displacement

$\gamma(x)$  : gain coefficient

$$[\mathcal{G}(u)](x,t) = \frac{\gamma(x)}{1 + \theta[\Phi_\eta(u^2)](x,t)}$$

$\mathcal{G}$ : Active Gain (small  $u \rightarrow$  large gain)  
gives wide dynamic range

# A Stochastic Biomechanical Model



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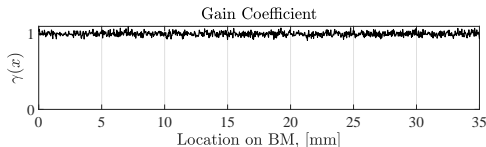
$$[\mathcal{G}(u)](x,t) = \frac{\gamma(x,t)}{1 + \theta[\Phi_\eta(u^2)](x,t)}$$

$\gamma(x,t)$  : Random Field

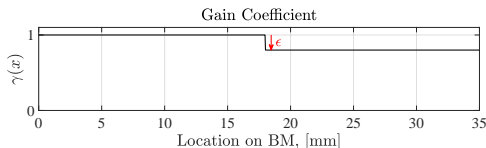
# Cochlear Instabilities, Perturbations of the Active Gain

$$[\mathcal{G}(u)](x, t) = \frac{\gamma(x)}{1 + \theta[\Phi_\eta(u^2)](x, t)}$$

- $\gamma(x) = 1 + \tilde{\gamma}(x) \rightarrow$  Eigenvalue analysis via Monte Carlo methods <sup>1</sup>



- $\gamma(x) = 1 - \epsilon \text{step}(x - x_0) \rightarrow$  Eigenvalue perturbation analysis <sup>2</sup>

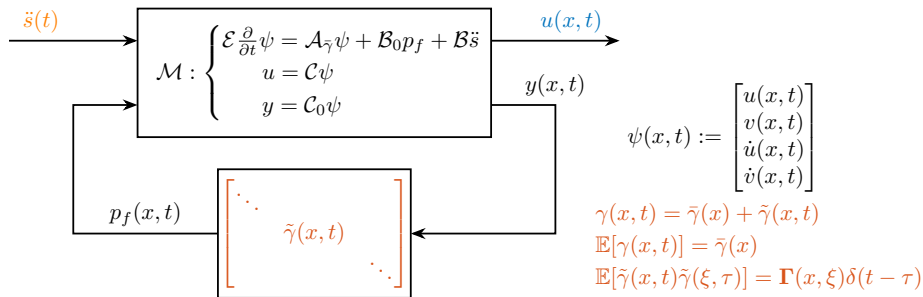


- $\gamma(x, t) : \text{Random Field} \rightarrow$  Structured Stochastic Uncertainty

<sup>1</sup>Ku, E. M., Elliott, S. J., & Lineton, B. (2008).

<sup>2</sup>Filo, M. G. (2017), Master's Thesis, UCSB.

# Structured Stochastic Uncertainty Setting

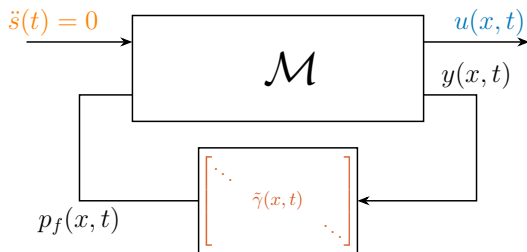


$$\mathcal{E} := \begin{bmatrix} \mathcal{I} & 0 & 0 & 0 \\ 0 & \mathcal{I} & 0 & 0 \\ 0 & 0 & m_1 \mathcal{I} + \mathcal{M}_f & 0 \\ 0 & 0 & 0 & m_2 \mathcal{I} \end{bmatrix}; \quad \mathcal{B} := \begin{bmatrix} 0 \\ 0 \\ -\mathcal{M}_s \\ 0 \end{bmatrix}; \quad \mathcal{B}_0 := \begin{bmatrix} 0 \\ 0 \\ \mathcal{I} \\ 0 \end{bmatrix}$$

$$\mathcal{A}_{\bar{\gamma}} := \begin{bmatrix} 0 & \mathcal{I} \\ \mathcal{K}_{\bar{\gamma}} & \mathcal{C}_{\bar{\gamma}} \end{bmatrix}; \quad \mathcal{C} := [\mathcal{I} \quad 0 \quad 0 \quad 0]$$

$\mathcal{K}_{\bar{\gamma}}, \mathcal{C}_{\bar{\gamma}}$  and  $\mathcal{C}_0$  are spatially decoupled operators and are functions of  $k_i, c_i$  and  $\bar{\gamma}$ .

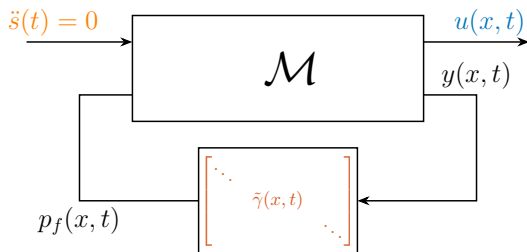
# Mean-Square Stability Conditions & Performance



- **Forward block** ( $\mathcal{M}$ ): causal & stable LTI system
- **Feedback block**: Diagonal, temporally independent but possibly spatially correlated:  $\mathbb{E}[\tilde{\gamma}(x, t)\tilde{\gamma}(\xi, \tau)] = \mathbf{\Gamma}(x, \xi)\delta(t - \tau)$



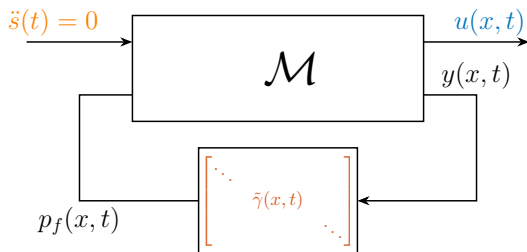
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*Definition:* **MSS**  $\iff$  covariances of all signals remain bounded for all time

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**Definition: MSS**  $\iff$  covariances of all signals remain bounded for all time

**Questions:**

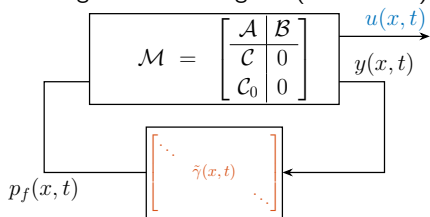
- 1 Conditions on  $\mathbf{\Gamma}(x, \xi)$  that guarantee MSS?
- 2 If MSS is violated, how do covariances grow?

$\rightarrow$  Discrete time setting: Bamieh, B (2012), Structured stochastic uncertainty

$\rightarrow$  Continuous time setting: in preparation

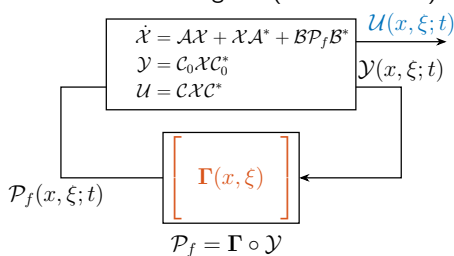
# Covariance Evolution & Loop Gain Operator

Original Block Diagram (**Stochastic**)



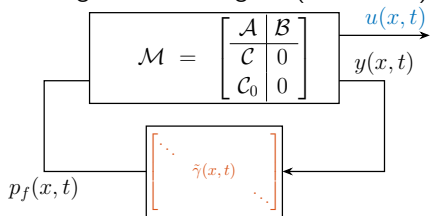
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Covariance Block Diagram (**Deterministic**)



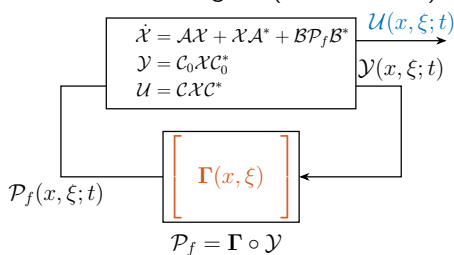
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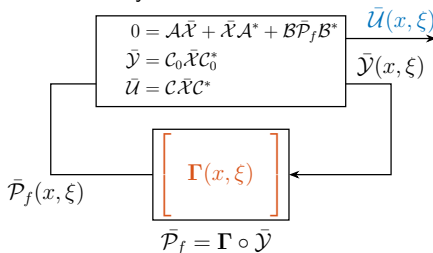


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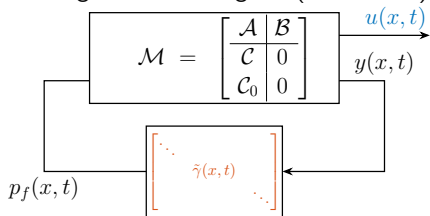


Steady State Covariances



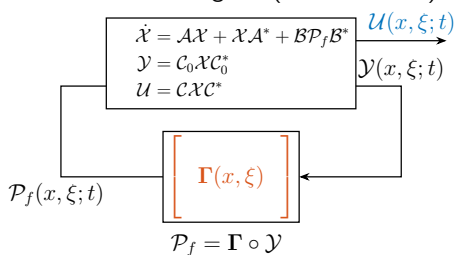
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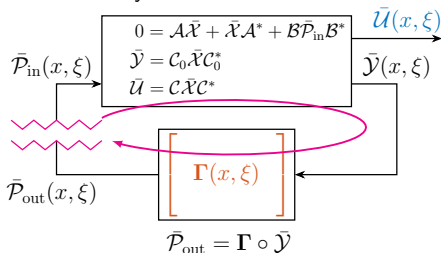


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Covariance Block Diagram (**Deterministic**)



Steady State Covariances



Loop Gain Operator:

$$\mathbb{L} : \bar{P}_{in} \rightarrow \bar{P}_{out}$$

- MSS condition:  
 $\rho(\mathbb{L}) < 1$
- Worst-case covariance:  
 $\mathbb{L}(\mathbf{P}) = \rho(\mathbb{L})\mathbf{P}$

# MSS Analysis of the Cochlea

$$\gamma(x, t) = \bar{\gamma}(x) + \tilde{\gamma}(x, t)$$

Expectation:  $\mathbb{E}[\gamma(x, t)] = \bar{\gamma}(x)$

Covariance:  $\mathbb{E}[\tilde{\gamma}(x, t)\tilde{\gamma}(\xi, \tau)] = \frac{\epsilon^2}{\lambda\sqrt{2\pi}} e^{-\frac{(x-\xi)^2}{2\lambda^2}} \delta(t - \tau)$

**U**(x,  $\xi$ ): worst case covariance of the basilar membrane displacement  $u(x, t)$

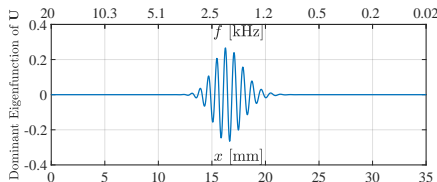
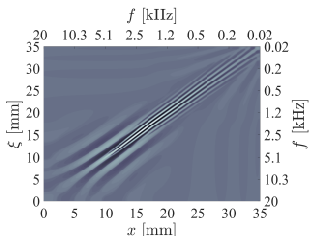
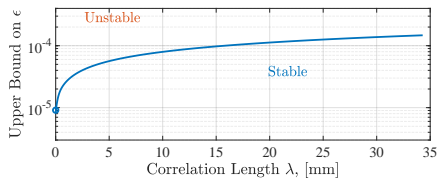
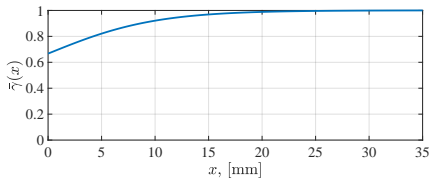
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$\mathbf{U}(x, \xi)$ : worst case covariance of the basilar membrane displacement  $u(x, t)$



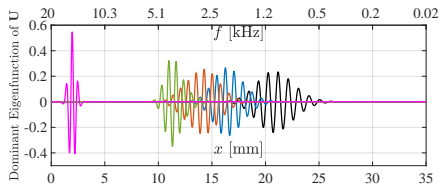
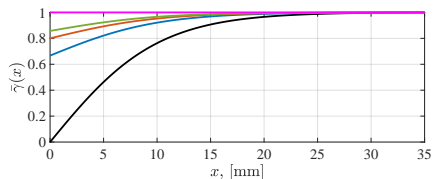
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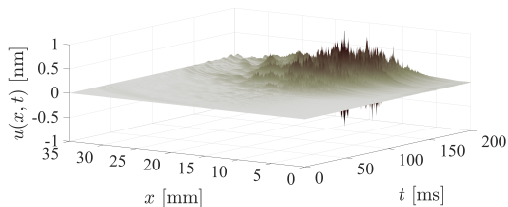
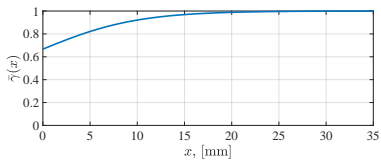
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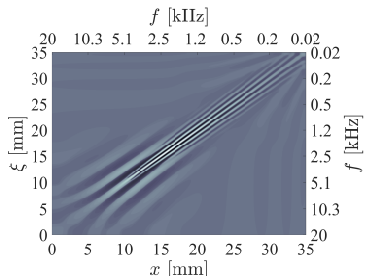




# Stochastic Simulation of the Nonlinear Cochlear Dynamics

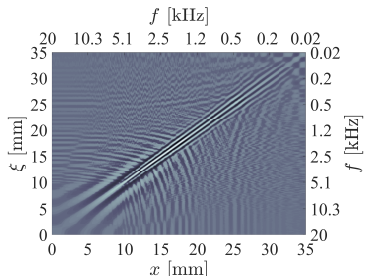


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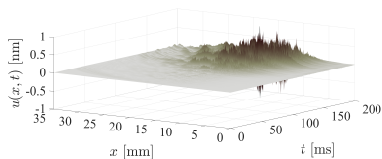
← Theoretical Worst-Case Covariance

(MSS analysis for linearized dynamics)

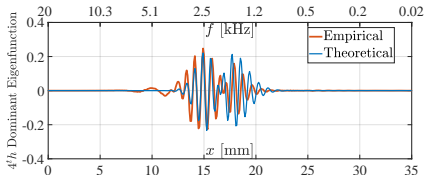
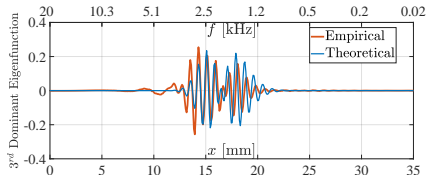
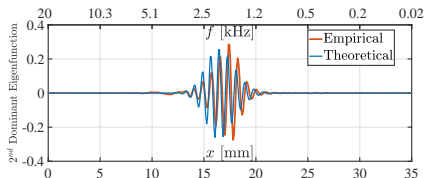
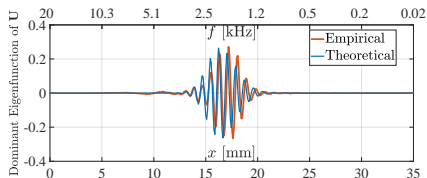
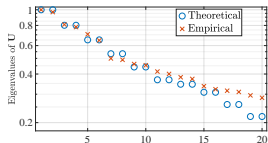


← Empirical Covariance

$$\mathbf{U}_{\text{Emp}} := \int_0^t \int u(x, t) u(\xi, t) dt$$



# Stochastic Simulation of the Nonlinear Cochlear Dynamics



No significant difference: Nonlinearity only **saturates** the unstable response!

## Key Messages:

- Cochlear models are extremely **sensitive to stochastic perturbations**
- **Structured stochastic uncertainty** is a suitable framework for MSS analysis of the cochlea
- Various stochastic uncertainties in the cochlea are possible **sources for cochlear instabilities** such as SOAEs and tinnitus.

## Future Directions:

- Develop the theory for structured stochastic uncertainty in continuous-time (Itô, Stratonovich, ...)
- Uncertainties in different cochlear parameters (such as fluid density)
- Suppressing undesired instabilities such as tinnitus



# Questions?