

Stability and Fragility in the Mammalian Cochlea: A Structured Stochastic Uncertainty Approach

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ETH zürich

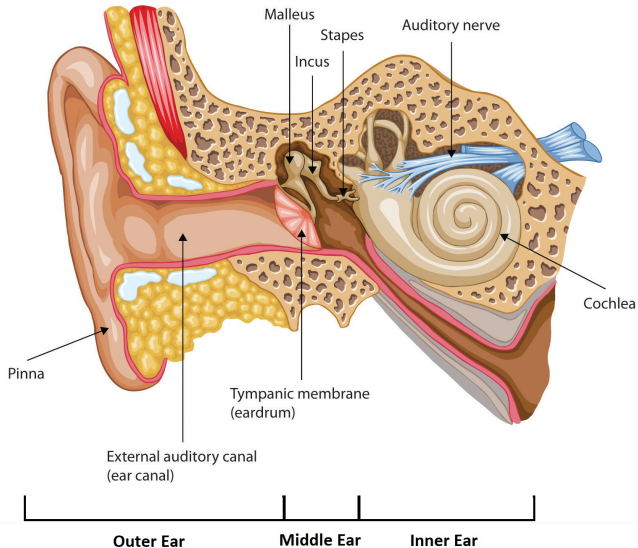
MTNS 2024, Cambridge



Overview

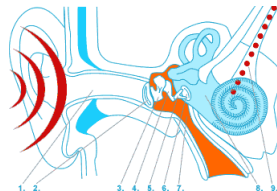
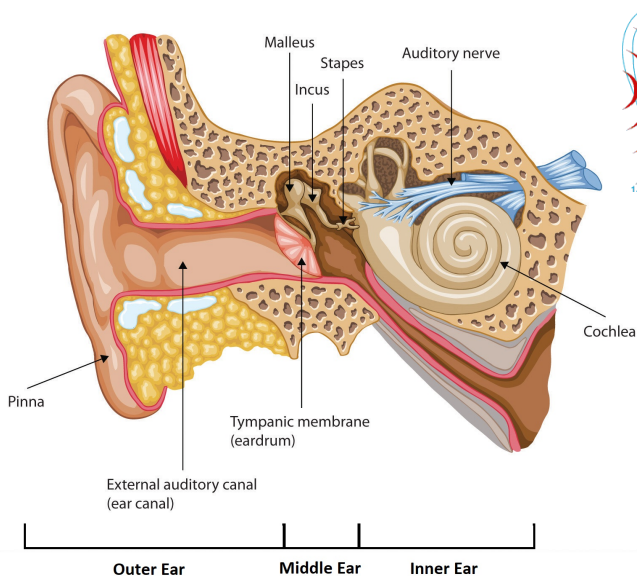
- 1 Brief Physiology
- 2 Features of Cochlear Response
 - Frequency to Location Mapping
 - Wide Dynamic Range
 - Cochlear Instabilities
- 3 Deterministic & Stochastic Biomechanical Models
- 4 Stochastic Biomechanical Models in the Literature
- 5 Mean-Square Stability Analysis
- 6 Results
- 7 Conclusion & Future Work

Brief Physiology: The Ear

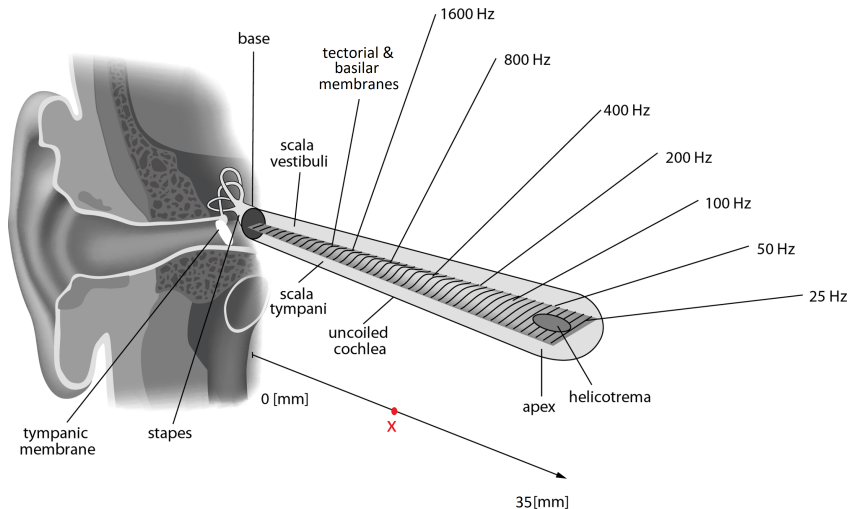


Source: Introduction to Psychology 1.0.1 — FlatWorld

Brief Physiology: The Ear

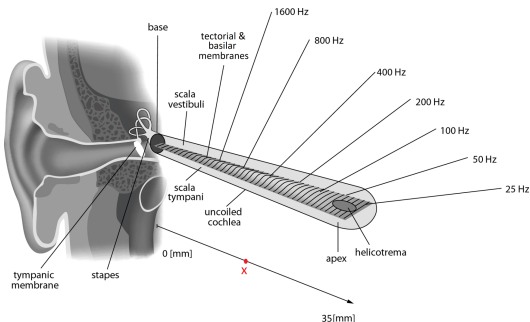


Brief Physiology: The Cochlea



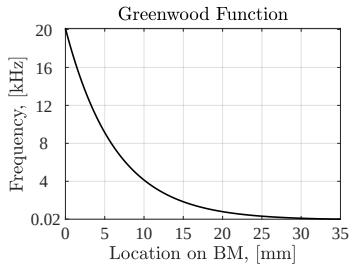
Source: Biophysical Parameters Modification Could Overcome Essential Hearing Gaps

Brief Physiology: The Cochlea

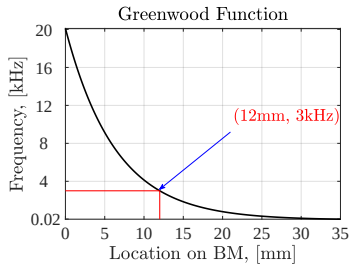


Cochlea is simply a mechanical spectrum analyzer

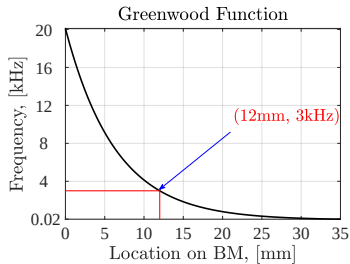
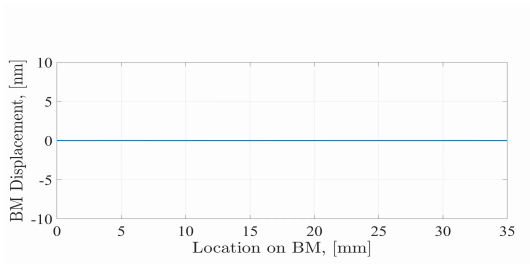
Cochlear Response, Frequency-Location Mapping



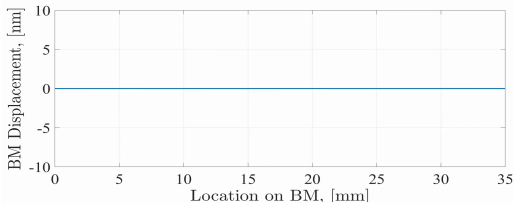
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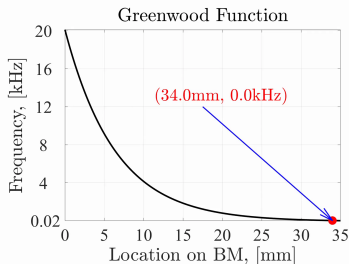
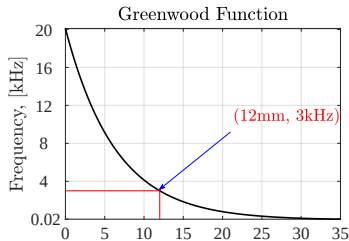
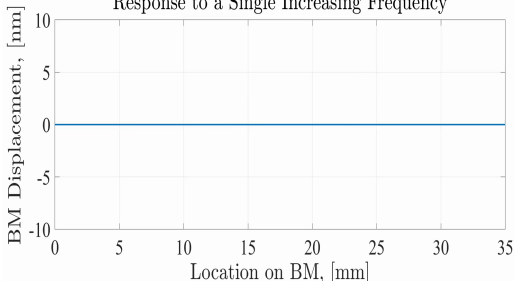
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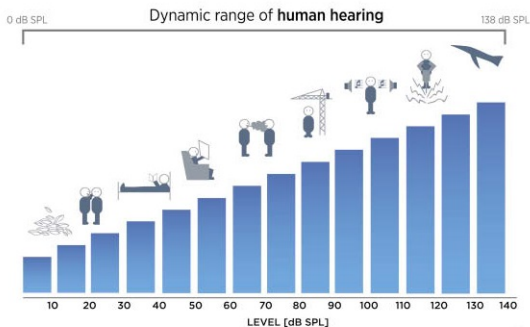


Response to a Single Increasing Frequency



Cochlear Response, Wide Dynamic Range

Wide Dynamic Range: **More than 120 dB** in Sound Pressure Level (SPL)

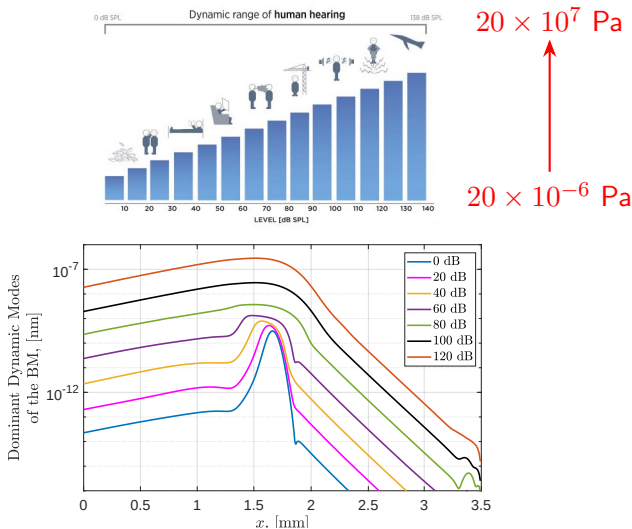


$$20 \times 10^7 \text{ Pa}$$

$$20 \times 10^{-6} \text{ Pa}$$

Cochlear Response, Wide Dynamic Range

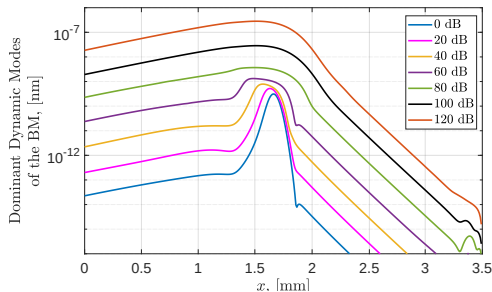
Wide Dynamic Range: **More than 120 dB** in Sound Pressure Level (SPL)



Cochlear Response, Wide Dynamic Range

A feedback mechanism that amplifies small inputs

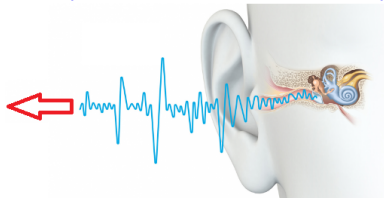
→ but pushes the dynamics to the edge of stability!



Spontaneous Response: Cochlear Instabilities

The ear is an active device that can produce sound!

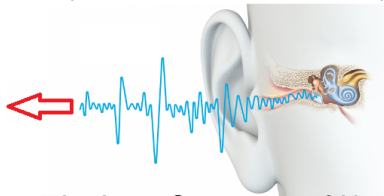
- **Spontaneous Otoacoustic Emissions (SOAE)**
(Not necessarily perceived)



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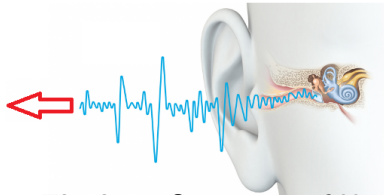
- **Tinnitus:** Symptoms of Hearing Loss Diseases
(Perceived as harsh and consistent ringing)



Spontaneous Response: Cochlear Instabilities

→ Can be modeled as instabilities in stochastic cochlear dynamics...

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(Not necessarily perceived)



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(Perceived as harsh and consistent ringing)



Cochlear Response, Distortion Products

Two tones simultaneously: $\rightarrow f_{Low}$: fixed $\rightarrow f_{High}$: time-varying

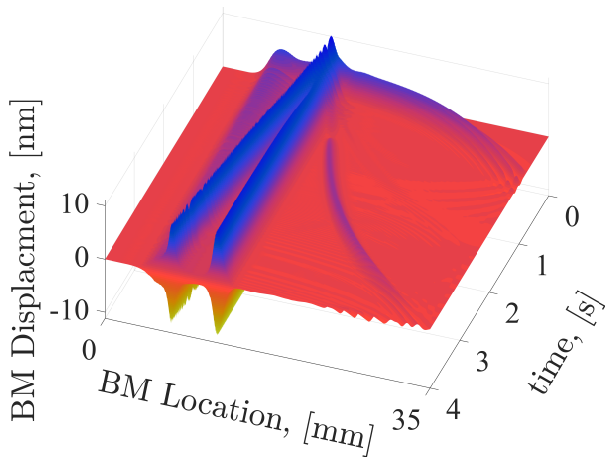
<https://maurice-filo.github.io/Cochlear%20Modeling.html>



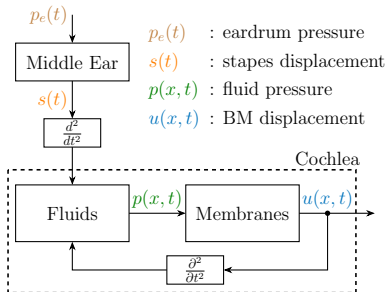
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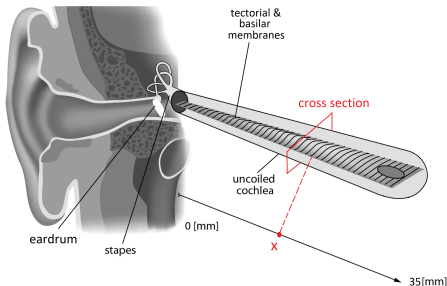
A Deterministic Biomechanical Model



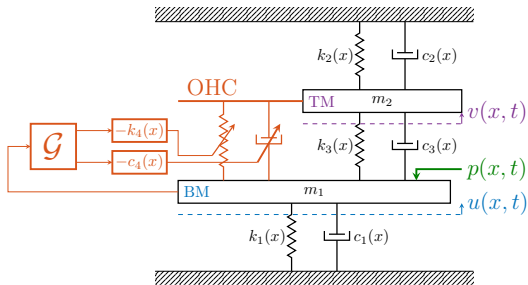
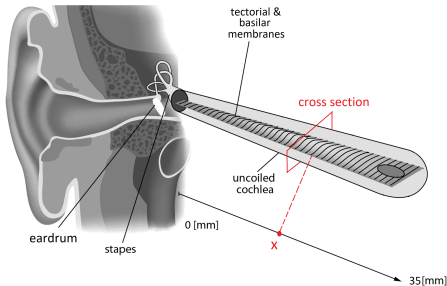
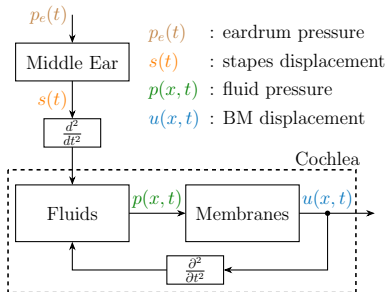
$$p(x, t) = -[\mathcal{M}_f \ddot{u}](x, t) - [\mathcal{M}_s \ddot{s}](x, t)$$

where:

$\mathcal{M}_f$ and $\mathcal{M}_s$ are linear spatial operators



A Deterministic Biomechanical Model



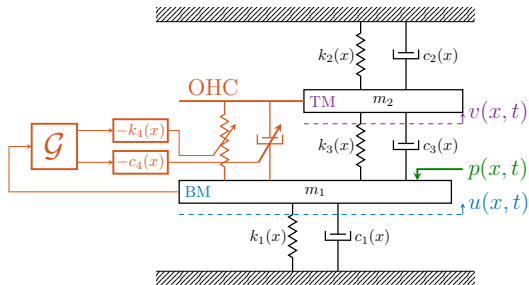
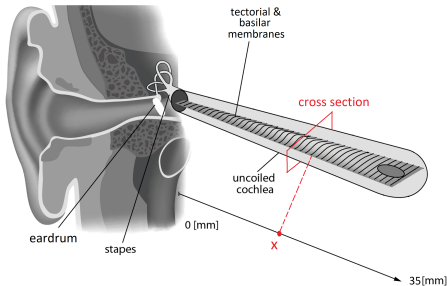
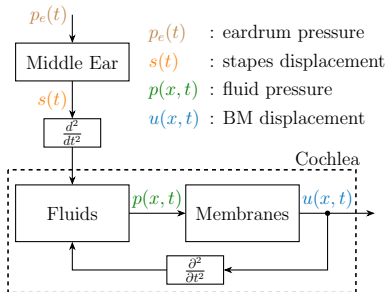
$v(x,t)$: TM displacement

$\gamma(x)$: gain coefficient

$$[\mathcal{G}(u)](x,t) = \frac{\gamma(x)}{1+\theta[\Phi_\eta(u^2)](x,t)}$$

$\mathcal{G}$: Active Gain (small $u \rightarrow$ large gain)
gives wide dynamic range

A Stochastic Biomechanical Model



$v(x, t)$: TM displacement

$\gamma(x)$: gain coefficient

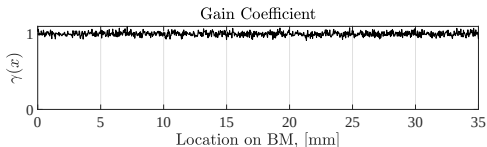
$$[\mathcal{G}(u)](x, t) = \frac{\gamma(x, t)}{1 + \theta[\Phi_\eta(u^2)](x, t)}$$

$\gamma(x, t)$: Random Field

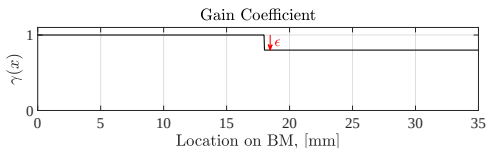
Cochlear Instabilities, Perturbations of the Active Gain

$$[\mathcal{G}(u)](x, t) = \frac{\gamma(x)}{1 + \theta[\Phi_\eta(u^2)](x, t)}$$

- $\gamma(x) = 1 + \tilde{\gamma}(x) \rightarrow$ Eigenvalue analysis via Monte Carlo methods ¹



- $\gamma(x) = 1 - \epsilon \text{step}(x - x_0) \rightarrow$ Eigenvalue perturbation analysis ²

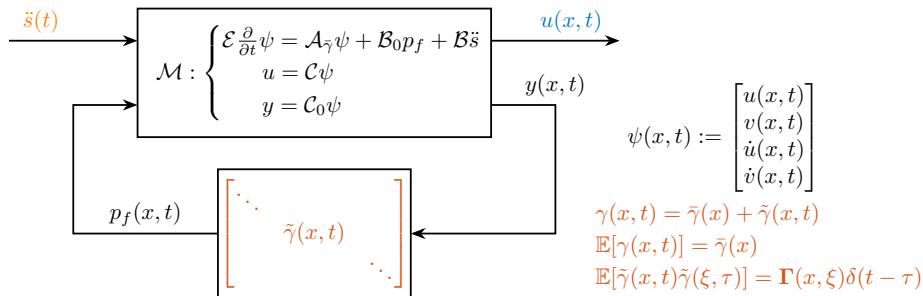


- $\gamma(x, t)$: Random Field $\rightarrow$ Structured Stochastic Uncertainty

¹Ku, E. M., Elliott, S. J., & Lineton, B. (2008).

²Filo, M. G. (2017), Master's Thesis, UCSB.

Structured Stochastic Uncertainty Setting

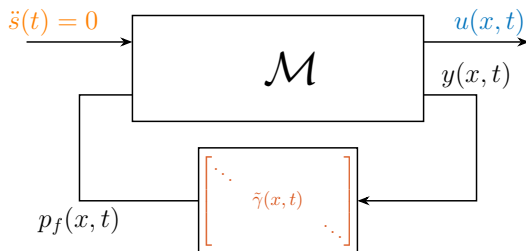


$$\mathcal{E} := \begin{bmatrix} \mathcal{I} & 0 & 0 & 0 \\ 0 & \mathcal{I} & 0 & 0 \\ 0 & 0 & m_1 \mathcal{I} + \mathcal{M}_f & 0 \\ 0 & 0 & 0 & m_2 \mathcal{I} \end{bmatrix}; \quad \mathcal{B} := \begin{bmatrix} 0 \\ 0 \\ -\mathcal{M}_s \\ 0 \end{bmatrix}; \quad \mathcal{B}_0 := \begin{bmatrix} 0 \\ 0 \\ \mathcal{I} \\ 0 \end{bmatrix}$$

$$\mathcal{A}_{\bar{\gamma}} := \begin{bmatrix} 0 & \mathcal{I} \\ \mathcal{K}_{\bar{\gamma}} & \mathcal{C}_{\bar{\gamma}} \end{bmatrix}; \quad \mathcal{C} := [\mathcal{I} \quad 0 \quad 0 \quad 0]$$

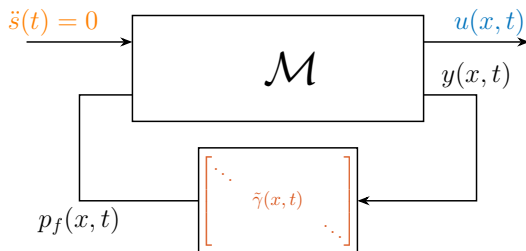
$\mathcal{K}_{\bar{\gamma}}, \mathcal{C}_{\bar{\gamma}}$ and $\mathcal{C}_0$ are spatially decoupled operators and are functions of k_i, c_i and $\bar{\gamma}$.

Mean-Square Stability Conditions & Performance



- **Forward block ($\mathcal{M}$):** causal & stable LTI system
- **Feedback block:** Diagonal, temporally independent but possibly spatially correlated: $\mathbb{E}[\tilde{\gamma}(x, t)\tilde{\gamma}(\xi, \tau)] = \mathbf{\Gamma}(x, \xi)\delta(t - \tau)$

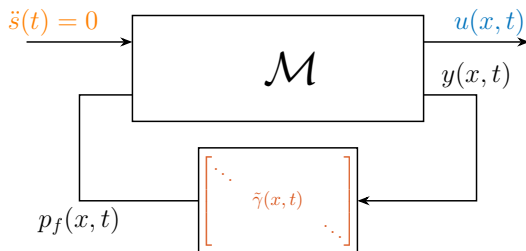
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Definition: **MSS** $\iff$ covariances of all signals remain bounded for all time

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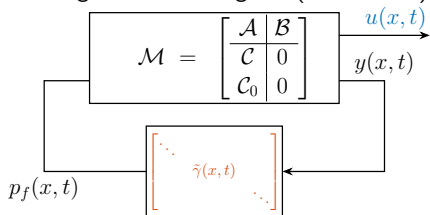
Definition: MSS $\iff$ covariances of all signals remain bounded for all time

Questions:

- ① Conditions on $\mathbf{\Gamma}(x, \xi)$ that guarantee MSS?
- ② If MSS is violated, how do covariances grow?

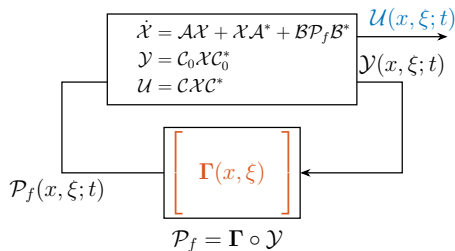
Covariance Evolution & Loop Gain Operator

Original Block Diagram (**Stochastic**)



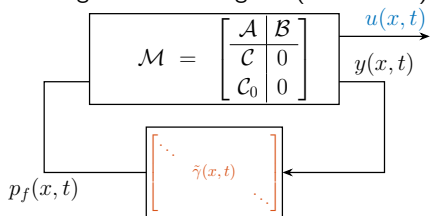
$$\mathbb{E}[\tilde{\gamma}(x, t)\tilde{\gamma}(\xi, \tau)] = \mathbf{\Gamma}(x, \xi)\delta(t - \tau)$$

Covariance Block Diagram (**Deterministic**)



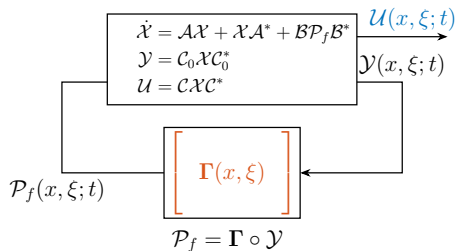
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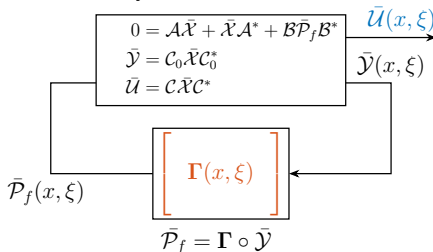
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Covariance Block Diagram (**Deterministic**)



$$\mathcal{P}_f = \mathbf{\Gamma} \circ \mathcal{Y}$$

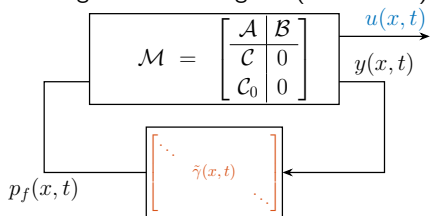
Steady State Covariances



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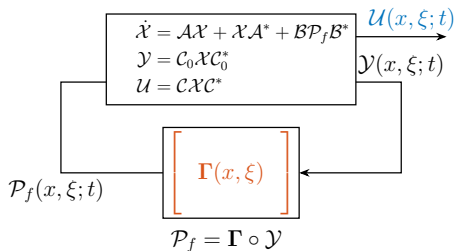
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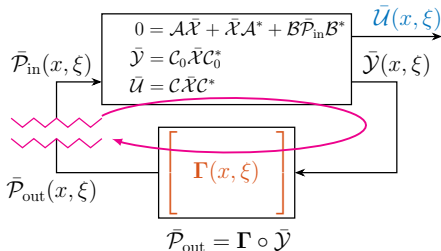


$$\mathbb{E}[\tilde{\gamma}(x, t)\tilde{\gamma}(\xi, \tau)] = \mathbf{\Gamma}(x, \xi)\delta(t - \tau)$$

Covariance Block Diagram (**Deterministic**)



Steady State Covariances



Loop Gain Operator:

$$\mathbb{L} : \bar{\mathcal{P}}_{\text{in}} \rightarrow \bar{\mathcal{P}}_{\text{out}}$$

- MSS condition:
 $\rho(\mathbb{L}) < 1$
- Worst-case covariance:
 $\mathbb{L}(\mathbf{P}) = \rho(\mathbb{L})\mathbf{P}$

MSS Analysis of the Cochlea

$$\gamma(x, t) = \bar{\gamma}(x) + \tilde{\gamma}(x, t)$$

Expectation: $\mathbb{E} [\gamma(x, t)] = \bar{\gamma}(x)$

Covariance: $\mathbb{E} [\tilde{\gamma}(x, t) \tilde{\gamma}(\xi, \tau)] = \frac{\epsilon^2}{\lambda \sqrt{2\pi}} e^{\frac{(x-\xi)^2}{2\lambda^2}} \delta(t - \tau)$

U(x, ξ): worst case covariance of the basilar membrane displacement $u(x, t)$

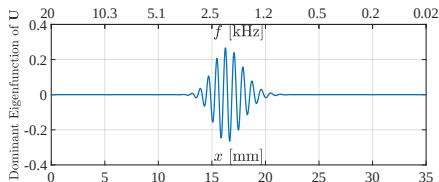
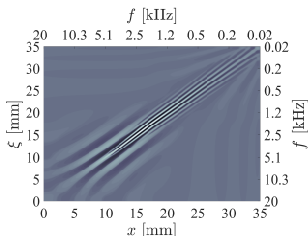
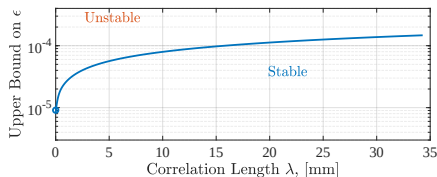
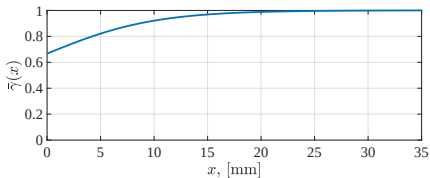
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$\mathbf{U}(x, \xi)$: worst case covariance of the basilar membrane displacement $u(x, t)$



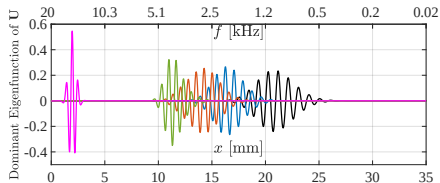
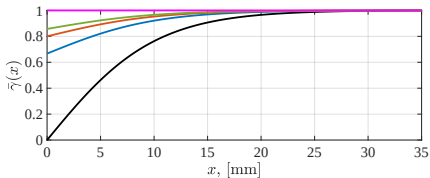
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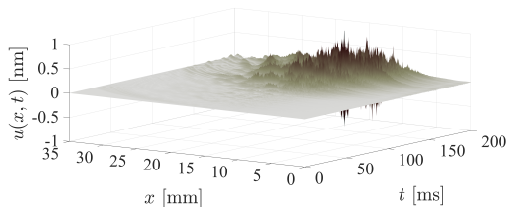
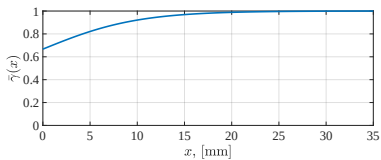
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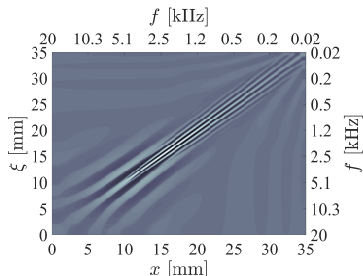
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Stochastic Simulation of the Nonlinear Cochlear Dynamics

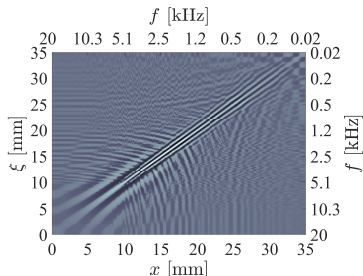


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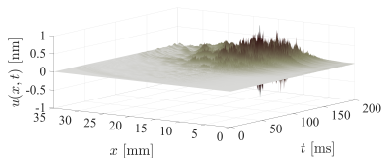
← Predicted Worst-Case Covariance

(MSS analysis for linearized dynamics)

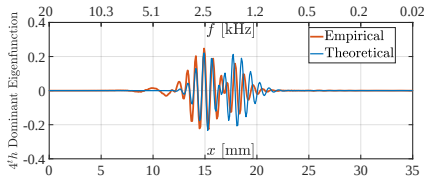
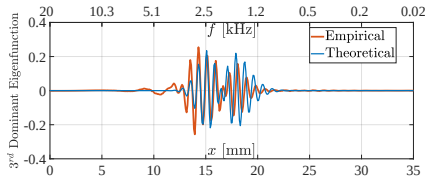
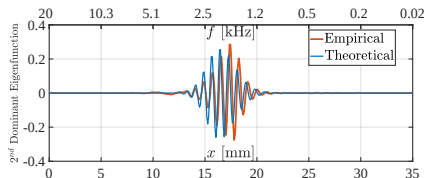
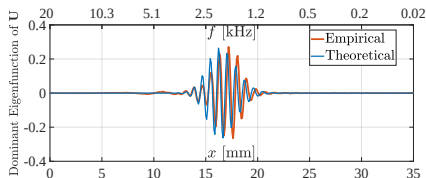
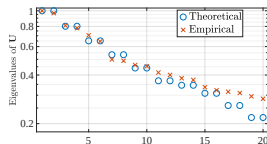


← Empirical Covariance

$$\mathbf{U}_{\text{Emp}} := \int_0^{t_f} u(x, t) u(\xi, t) dt$$



Stochastic Simulation of the Nonlinear Cochlear Dynamics



No significant difference: Nonlinearity only **saturates** the unstable response!

Key Messages:

- Cochlear models are extremely **sensitive to stochastic perturbations**
- **Structured stochastic uncertainty** is a suitable framework for MSS analysis of the cochlea
- Various stochastic uncertainties in the cochlea are possible **sources for cochlear instabilities** such as SOAEs and tinnitus.

Future Directions:

- Uncertainties in different cochlear parameters (such as fluid density)
- Suppressing undesired instabilities such as tinnitus

Questions?



Localized Stochastic Perturbation

$\gamma(x, t) = \bar{\gamma}(x) + \tilde{\gamma}(x, t)$; Expectation: $\mathbb{E}[\gamma(x, t)] = \bar{\gamma}(x) = 1$

Covariance: $\mathbb{E}[\tilde{\gamma}(x, t)\tilde{\gamma}(\xi, \tau)] = \epsilon^2 \phi_{\sigma}(x - \mu)\delta(t - \tau, x - \xi)$

$$\phi_{\sigma}(x - \mu) = \frac{1}{\sigma\sqrt{2\pi}} e^{-\frac{(x-\mu)^2}{2\sigma^2}}$$

$\mathbf{U}(x, \xi)$: worst case covariance of the basilar membrane displacement $u(x, t)$

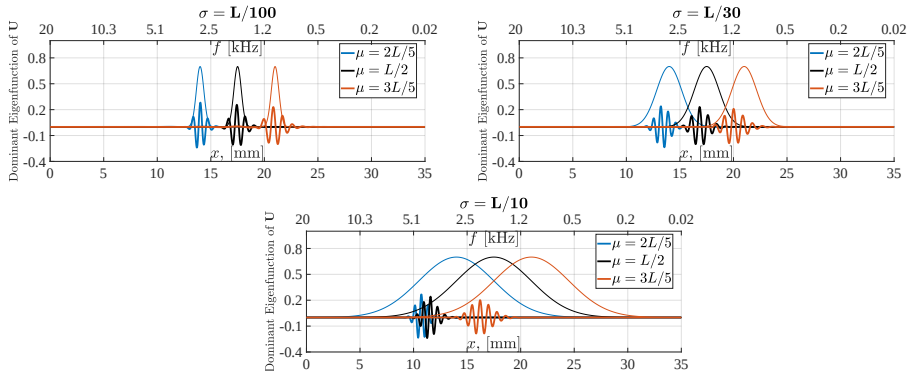
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$\mathbf{U}(x, \xi)$: worst case covariance of the basilar membrane displacement $u(x, t)$



Thin lines $\rightarrow \phi_{\sigma}(x - \mu)$; Thick lines $\rightarrow$ Dominant Eigenfunction of $\mathbf{U}$